# Barriers to Rotation of 9-Substituents in 9-Hydroxy-, 9-Amino-, and 9-Methyltriptycenes 

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#### Abstract

Barriers to rotation of the 9 -substituents in 9-hydroxytriptycene (1) and 9-aminotriptycene (2) are determined from the temperature dependence of proton spin-lattice relaxation times $\left(T_{1}\right)$ in solids: $1.28 \pm 0.05$ and $2.56 \pm 0.05 \mathrm{kcal}_{\mathrm{mol}}{ }^{-1}$ for 1 and 2 , respectively. Contributions of rotation and inversion of the amino group in 2 to $T_{1}$ are examined. The ratio of the barriers for 1,2 , and the 9 -methyl group in 9 -methyltriptycene (3) is $1: 2.00: 4.06$. MM2 molecular mechanics calculations well reproduce the barriers, showing little contribution of the torsional term in steric energies to the barriers, in contrast to the barriers to internal rotation of the corresponding methane system (methanol, methylamine, and ethane). The extra enhancement of the barrier for 3 is discussed on the basis of the $\mathrm{MM2}^{\prime}$ calculations.


Barriers to internal rotation in congested systems have been of experimental and theoretical interest. We obtained a value of $5.20 \pm 0.13 \mathrm{kcal} \mathrm{mol}^{-1}$ for the barrier to rotation of the 9 -methyl group in solid 9 -methyltriptycene (3), ${ }^{1,2}$ whereas molecular mechanics calculations by the MMI method ${ }^{3}$ gave too great a value ( $12.07 \mathrm{kcal} \mathrm{mol}^{-1}$ ) for the barrier. Subsequent experimental studies on barriers to rotation of methyl groups in several methyltriptycenes ${ }^{2,4}$ support, however, the experimental value. Recently, we have shown that the barriers for the 9 -methyl groups in methyltriptycenes are reasonably reproduced by the $\mathrm{MM}^{2}{ }^{\prime}$ method ${ }^{5}$ with a change of the $V_{3}\left(\mathrm{C}_{\left.\mathrm{sp}^{2}-\mathrm{C}_{\mathrm{sp}^{3}}-\mathrm{C}_{\mathrm{sp}^{3}}-\mathrm{H}\right) \text { torsional parameter }}\right.$ from 0.500 to $0.0 \mathrm{kcal} \mathrm{mol}^{-1} .^{6}$

The $V_{3}$ potentials for internal rotation in methanol (4), ${ }^{7}$ methylamine (5), ${ }^{8}$ and ethane $(6)^{9}$ (the methane system) are reported to be $1.07,1.96$, and $2.88 \mathrm{kcal} \mathrm{mol}^{-1}$, respectively. The ratio of these values (1:1.83:2.69) is nearly proportional to the number of the protons attached to the rotating groups, indicating that the potential is, as it were, determined by additive steric interactions between the rotating group and the residual methyl group. Therefore, we investigated the barriers to rotation of the hydroxyl and the amino groups in 9 -hydroxytriptycene (1) and 9 -aminotriptycene (2), respectively, by proton spin-lattice relaxation times ( $T_{1}$ ) in solids to confirm the barrier for the 9 -methyl group in 3 and further to compare it with the barriers to rotation of the 9 -substituents in the triptycene system. The origins of the barriers for 1-3 are interpreted in terms of steric energies on the basis of the MM2' molecular mechanics calculations. NMR spectra for 1-3 both in solids and in solutions are also measured to examine the characteristic features of the molecular structures.

## Experimental Section

Materials. 9-Hydroxytriptycene (1). By hydrolysis of 9 -acetoxytriptycene, which was prepared by addition of 9 -acetoxyanthracene and benzyne, with potassium hydroxide in aqueous dioxane, 1 was prepared. Recrystallization from benzene-petroleum ether gave 1 as colorless leaves, which turned to white leaves after drying at $100^{\circ} \mathrm{C}$ under reduced pressure: $\mathrm{mp} 245.0-246.5^{\circ} \mathrm{C}$ (lit. ${ }^{10} 242-243^{\circ} \mathrm{C}$ ).

[^0]

9-Aminotriptycene (2). By catalytic reduction of 9 -nitrotriptycene ${ }^{11}$ employing a method similar to that by Theilacker and Beyer, ${ }^{12} 2$ was prepared. We used palladium carbon as a catalyst rather than platinum oxide. Recrystallization from ligroin gave 2 as white prisms: mp $223.5-224.5^{\circ} \mathrm{C}$ (lit. ${ }^{10} 221-221.5^{\circ} \mathrm{C}$ ).

NMR Measurements. ${ }^{13} \mathrm{C}$ and ${ }^{1} \mathrm{H}$ NMR chemical shifts for $\mathbf{1 - 3}$ in $\mathrm{CDCl}_{3}$ solutions at room temperature were recorded on a JEOL GX-400 spectrometer operating at 100.5 and 399.8 MHz for ${ }^{13} \mathrm{C}$ and ${ }^{1} \mathrm{H}$ nuclei, respectively. Isotropic ${ }^{13} \mathrm{C}$ NMR chemical shifts in solids at room temperature were measured on a home-built spectrometer operating at 22.6 MHz by using the CPMAS techniques ${ }^{13}$ with mixing times of $7-8 \mathrm{~ms}$, repetition times of $3-10 \mathrm{~s}$, and a sample-spinning frequency of 3.1 kHz . The sample of 1 was doped with a small amount of $\mathrm{CuCl}_{2}$ to shorten the repetition time. ${ }^{14} \quad{ }^{13} \mathrm{C}$ chemical shifts in solids were calibrated in units of ppm relative to $\left(\mathrm{CH}_{3}\right)_{4} \mathrm{Si}$ as described previously. ${ }^{15}$

Samples for $T_{1}$ measurements were degassed by several freeze-pump-thaw cycles in glass tubes. $T_{1}$ values were determined by using the home-built spectrometer operating at 90.0 MHz as described elsewhere. ${ }^{1}$

## Results and Discussion

NMR Chemical Shifts. In Table I are collected ${ }^{13} \mathrm{C}$ and ${ }^{1} \mathrm{H}$ NMR chemical shifts in $\mathrm{CDCl}_{3}$ solutions and ${ }^{13} \mathrm{C}$ NMR chemical shifts in solids at room temperature for 1-3. Selective decoupling techniques were used to assign ${ }^{13} \mathrm{C}$ resonance lines of protonated carbon atoms in solutions. Delayed decoupling techniques ${ }^{17}$ and
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Table I. ${ }^{13} \mathrm{C}$ and ${ }^{1} \mathrm{H}$ NMR Chemical Shifts for $1-3^{a}$

| position | ${ }^{13} \mathrm{C}$ |  |  |  |  |  | ${ }^{1} \mathrm{H}$ |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 1 |  | 2 |  | 3 |  | $\begin{gathered} 1 \\ \operatorname{soln}^{b} \end{gathered}$ | $\begin{gathered} \mathbf{2} \\ \operatorname{soln}^{c} \end{gathered}$ | $\begin{gathered} 3 \\ \text { soln }^{d} \end{gathered}$ |
|  | soln | solid | soln | solid | soln | solid |  |  |  |
| 1,8, 13 | 118.8 | 119.3 | 119.2 | 120.1 | 120.7 | 121.7 | 7.53 | 7.47 | 7.33 |
| 2, 7, 14 | 124.9 | 125.9 | 124.8 | 124.9 | 124.7 | 124.8 | 7.06 | 7.05 | 7.00 |
| 3, 6, 15 | 125.3 | 125.9 | 125.1 | 124.9 | 124.8 | 124.8 | 7.01 | 7.00 | 6.98 |
| 4, 5, 16 | 123.1 | 123.5 | 123.1 | 124.9 | 123.1 | 124.8 | 7.37 | 7.37 | 7.37 |
| 4a, 10a, 11 | 143.5 | 144.0 | 144.8 | 145.6 | 146.2 | 147.1 |  |  |  |
| $9 \mathrm{a}, 8 \mathrm{a}, 12$ | 145.7 | 146.1 | 146.5 | 146.8 | 147.0 | 147.1 |  |  |  |
| 9 | 80.6 | 82.7 | 64.0 | 64.3 | 49.1 | 49.7 |  |  |  |
| 10 | 52.6 | 54.0 | 53.1 | 54.4 | 53.9 | 56.5 | 5.39 | 5.40 | 5.40 |
| $\mathrm{CH}_{3}, \mathrm{NH}_{2}, \mathrm{OH}$ |  |  |  |  | 13.0 | 12.2 | 3.34 | 2.56 | 2.39 |

${ }^{a}$ Chemical shifts are given in units of ppm from $\left(\mathrm{CH}_{3}\right)_{4} \mathrm{Si}$. Coupling patterns appearing in ${ }^{1} \mathrm{H}$ NMR spectra are analyzed by use of the LAOCN 3 program: ${ }^{16}$ soln, values in $\mathrm{CDCl}_{3}$; solid, values in solids. b $J_{1.2}=7.7 \mathrm{~Hz}, J_{1,3}=0.9 \mathrm{~Hz}, J_{1.4}=0.1 \mathrm{~Hz}, J_{2.3}=7.4 \mathrm{~Hz}, J_{2.4}=0.8 \mathrm{~Hz}$, and $J_{3.4}=7.5 \mathrm{~Hz}$. ${ }^{c} J_{1,2}=7.5 \mathrm{~Hz}, J_{1,3}=0.5 \mathrm{~Hz}, J_{1,4}=0.0 \mathrm{~Hz}, J_{2,3}=7.4 \mathrm{~Hz}, J_{2,4}=1.2 \mathrm{~Hz}$, and $J_{3,4}=7.4 \mathrm{~Hz} .{ }^{d} J_{1,2}=7.5 \mathrm{~Hz}, J_{1,3}=1.2 \mathrm{~Hz}, J_{1,4}=0.4 \mathrm{~Hz}, J_{2,3}=7.5$ $\mathrm{Hz}, J_{2,4}=1.4 \mathrm{~Hz}$, and $J_{3,4}=7.1 \mathrm{~Hz}$.


Figure 1. The temperature dependence of the ${ }^{1} \mathrm{H}$ spin-lattice relaxation times $\left(T_{1}\right)$ at 90.0 MHz for 9 -hydroxytriptycene ( $1: \Delta$ ) and 9 -aminotriptycene (2: O) in solids. The solid curves through the data points are "best fits" described by the parameters in Table IV.
${ }^{13} \mathrm{C}$ chemical shifts in solutions were adopted to assign ${ }^{13} \mathrm{C}$ resonance signals in solids. ${ }^{18}$

With increase of the bulkiness of the 9 -substituents from 1 to 3 , all of the ${ }^{13} \mathrm{C}$ chemical shifts for the carbon atoms in the bicyclooctane skeleton except for the C9 carbon atom move distinctly to downfield. On the other hand, variations of the ${ }^{13} \mathrm{C}$ and ${ }^{1} \mathrm{H}$ chemical shifts of the peripheral nuclei belonging to the benzene rings are quite small except for the peri carbon and hydrogen atoms, reflecting near invariance in geometries or electronic structures of the benzene rings. Thus, molecular mechanics calculations of the triptycene system by the "mechanical aromatic ring approach" ${ }^{20}$ may be allowed. Downfield shifts for the C 9 carbon atoms are proportional to the $\alpha$ effect of the equatorial substituents on the ${ }^{13} \mathrm{C}$ chemical shifts in cyclohexane. ${ }^{21}$

[^1]Table II. "Best Fit" Parameters to the $T_{1}$ Data for $\mathbf{1 - 3}^{a}$

|  | $C / \mathrm{s}^{-2}$ | $E_{\mathrm{a}} / \mathrm{kcal} \mathrm{mol}^{-1}$ | $\tau_{0} / \mathrm{s}$ |
| :--- | ---: | :--- | :---: |
| $\mathbf{1}$ | $(3.55 \pm 0.68) \times 10^{7}$ | $1.28 \pm 0.05$ | $(1.0 \pm 0.2) \times 10^{-12}$ |
| $\mathbf{2}$ | $(1.26 \pm 0.05) \times 10^{9}$ | $2.56 \pm 0.05$ | $(6.2 \pm 1.0) \times 10^{-13}$ |
| $\mathbf{3}^{5}$ | $(1.27 \pm 0.05) \times 10^{9}$ | $5.20 \pm 0.13$ | $(4.4 \pm 1.0) \times 10^{-13}$ |

${ }^{9}$ Error in $2.5 \sigma$ (variance).


Figure 2. Rotation of the hydroxyl group in 9-hydroxytriptycene (1). The encircled H denotes the peri H 1 hydrogen atom.

The observed trend of upfield shifts for the peri hydrogen atoms increasing from $\mathbf{1}$ to $\mathbf{3}$ is ascribed to the changes in the anisotropic deshielding effect rather than the bulkiness of the 9 -substituents, while the downfield shifts for the peri carbon atoms may be affected by the $\delta$ effect of the hydrogen atoms attached to the 9 -substituents.

The ${ }^{13} \mathrm{C}$ chemical shifts in solids for $\mathbf{1 - 3}$ are quite similar to the corresponding shifts in solutions, and extra splitting of the resonance lines is not detected in solids, indicating the three benzene rings in each molecule of 1-3 are equivalent on the NMR time scale at room temperature.

Spin-Lattice Relaxation Times, $\boldsymbol{T}_{1}$. Experimental values of proton $T_{1}$ for $\mathbf{1}$ and 2 in solids are plotted against the reciprocal temperature in Figure 1. The $T_{1}$ values for 2 display a symmetric V-shaped curve with a sole minimum, while those for 1 show only a high-temperature branch of the $T_{1}$ curve. The temperature dependence of $T_{1}$ with a sole minimum is well described by the BPP (Bloembergen-Purcell-Pound) equation ${ }^{22}$

$$
\begin{gather*}
T_{1}^{-1}=C B(\tau)  \tag{1}\\
B(\tau)=\frac{\tau}{1+\omega^{2} \tau^{2}}+\frac{4 \tau}{1+4 \omega^{2} \tau^{2}} \tag{2}
\end{gather*}
$$

where $\omega$ is the Larmor frequency and $C$ is the geometrical factor. The correlation time, $\tau$, is assumed to have an Arrhenius dependence on temperature

$$
\begin{equation*}
\tau=\tau_{0} \exp \left(E_{\mathrm{a}} / R T\right) \tag{3}
\end{equation*}
$$

where $E_{\mathrm{a}}$ is the activation energy and $\tau_{0}$ is the correlation time at infinite temperature. The $T_{1}$ values for $\mathbf{1}$ and $\mathbf{2}$ are fitted to
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Figure 3. Rotation and inversion of the amino group in 9-aminotriptycene (2). Solid and broken lines represent the rotation and the inversion processes, respectively. The encircled H denotes the peri Hl hydrogen atom.
eq 1 and 2, taking $C$ in eq 1 and $E_{\mathrm{a}}$ and $\tau_{0}$ in eq 3 as adjustable parameters. The "best fit" parameters together with those for 3 obtained previously ${ }^{2}$ are listed in Table II.

9-Hydroxytriptycene. As described in the Appendix, reorientation of the hydroxyl group in 1 shown in Figure 2 gives the same equations as eq 1 and 2 for $T_{1}$. To evaluate the geometrical factor $C$, we adopt the geometry generated by the MM2 molecular mechanics calculations ${ }^{23,24}$ for 1 . $C$ thus calculated is $1.05 \times 10^{8}$ $\mathrm{s}^{-2}$, which is about three times larger than the experimental value. Though the factor 3 may sound very large, it would be attained by $20 \%$ lengthening of the distance between the proton pairs because of the 6 th-power dependence of $C$ on the distance. Moreover, there remain other possibilities of overestimating the $C$ value. On the basis of the MM2 geometry for 3 , the $C$ value due to the intramethyl dipolar interactions for the rotation of the 9 -methyl group amounts to $1.44 \times 10^{9} \mathrm{~s}^{-2}$. Although this value is $13 \%$ larger than the experimental one, it may be reduced by torsional oscillation of the methyl group. ${ }^{2 s}$ Similar effects may also reduce the $C$ value for 1 . Tunneling of the hydroxyl protons at low temperatures may be another reason for the observed small $C$ value.

9-Aminotriptycene. As illustrated in Figure 3, both rotation and inversion of the amino group should be considered as the reorientation processes for 2. $T_{1}$ for 2 should consist of two kinds of relaxation times, $\left(T_{1}\right)_{\text {intra }}$ and $\left(T_{1}\right)_{\text {inter }}$, where $\left(T_{1}\right)_{\text {intra }}$ denotes the contribution from the dopolar interaction between the two amino protons and $\left(T_{1}\right)_{\text {Inter }}$ stands for the contribution from that between the amino protons and the peri hydrogen atoms

$$
\begin{equation*}
T_{1}^{-1}=\left(T_{1}\right)^{-1}{ }_{\text {Intra }}+\left(T_{1}\right)^{-1} \text { Inter } \tag{4}
\end{equation*}
$$

As described in Appendix, $\left(T_{1}\right)_{\text {lntra }}$ and $\left(T_{1}\right)_{\text {inter }}$ are functions of the combined correlation times, $\tau_{1}$ and $\tau_{3}$, consisting of the fundamental correlation times for the rotation $\left(\tau_{R}\right)$ and the inversion ( $\tau_{\mathrm{I}}$ )

$$
\begin{gather*}
\left(T_{1}\right)^{-1}{ }_{\text {lntra }}=C_{1} B\left(\tau_{1}\right)  \tag{5}\\
\left(T_{1}\right)^{-1}{ }_{\text {Inter }}=C_{2} B\left(\tau_{1}\right)+C_{3} B\left(\tau_{3}\right)  \tag{6}\\
\tau_{1}^{-1}=\tau_{\mathrm{R}}^{-1}+\tau_{\mathrm{I}}^{-1}  \tag{7}\\
\tau_{3}^{-1}=\tau_{\mathrm{R}}^{-1}+\left(3 \tau_{\mathrm{I}}\right)^{-1} \tag{8}
\end{gather*}
$$

[^2]

Figure 4. Variations of the proton spin-lattice relaxation times ( $T_{1}$ ) with change of $\tau_{0}{ }^{1}$ for 9 -aminotriptycene (2): (a) $\tau_{0}{ }^{1} \geq \tau_{0}{ }^{\mathrm{R}}$; (b) $\tau_{0}{ }^{1}=0.1 \tau_{0}{ }^{\mathrm{R}}$; (c) $\tau_{0}{ }^{1}=0.01 \tau_{0}{ }^{R}$.
where $C_{1}, C_{2}$, and $C_{3}$ are the geometrical factors. $\tau_{\mathrm{R}}$ and $\tau_{1}$ are assumed to have an Arrhenius dependence on temperature

$$
\begin{align*}
\tau_{\mathrm{R}} & =\tau_{0}^{\mathrm{R}} \exp \left(E_{\mathrm{a}}^{\mathrm{R}} / R T\right)  \tag{9}\\
\tau_{\mathrm{I}} & =\tau_{0}{ }^{\mathrm{I}} \exp \left(E_{\mathrm{a}}{ }^{\mathrm{I}} / R T\right) \tag{10}
\end{align*}
$$

where $E_{\mathrm{a}}{ }^{\mathrm{R}}$ and $E_{\mathrm{a}}{ }^{\mathrm{I}}$ are the activation energies for the rotation and the inversion, respectively, and $\tau_{0}{ }^{R}$ and $\tau_{0}{ }^{1}$ are the corresponding correlation times at infinite temperature. Combining eq 5 and 6 , one obtains

$$
\begin{equation*}
T_{1}^{-1}=\left(C_{1}+C_{2}\right) B\left(\tau_{1}\right)+C_{3} B\left(\tau_{3}\right) \tag{11}
\end{equation*}
$$

From the MM2 geometry for $2, C_{1}+C_{2}$ and $C_{3}$ are calculated to be $1.02 \times 10^{9}$ and $0.15 \times 10^{9} \mathrm{~s}^{-2}$, respectively. Their sum ( 1.17 $\times 10^{9} \mathrm{~s}^{-2}$ ) is in good agreement with the experimental value ( $(1.26$ $\pm 0.05) \times 10^{9} \mathrm{~s}^{-2}$ ).

Since the combined correlation times, $\tau_{1}$ and $\tau_{3}$, are quite similar to each other, the difference between the rotation and the inversion of the amino group in 2 should appear as different slopes of the $T_{1}$ curve with a single minimum. Therefore, we will consider why the experimental $T_{1}$ values display symmetric slopes above and below the minimum.

First, we assume that the experimental activation energy (2.56 $\pm 0.05 \mathrm{kcal} \mathrm{mol}^{-1}$ ) is assigned to the rotation barrier of the amino group, since the value is just twice the barrier for 1 . The inversion barrier of the amino group in 2 is then assumed to be 5.4 kcal $\mathrm{mol}^{-1}$ on the basis of the difference $\left(2.8 \mathrm{kcal} \mathrm{mol}^{-1}\right)$ between the barriers to inversion and rotation of the amino group in $5 .{ }^{26}$ In order to reproduce the observed $T_{1}$ minimum, $C_{1}+C_{2}$ and $C_{3}$ are slightly increased from the calculated values to $1.10 \times 10^{9}$ and $0.16 \times 10^{9} \mathrm{~s}^{-2}$, respectively, with the ratio for $C_{1}+C_{2}$ to $C_{3}$ fixed. Variations of $T_{1}$ curves for different $\tau_{0}{ }^{I}$ values are plotted in Figure 4. The slope of the $T_{1}$ curve in the high-temperature region becomes steeper with decreasing $\tau_{0}{ }^{I}$ values. The symmetric curve is, however, obtained if $\tau_{0}{ }^{I}$ is larger than or equal to $\tau_{0}{ }^{\mathrm{R}}$. In view of small variations of $\tau_{0}$ with $E_{\mathrm{a}},{ }^{27} \tau_{0}{ }^{\mathrm{I}}$ and $\tau_{0}{ }^{\mathrm{R}}$ may be considered to be similar. Consequently, we can regard the experimental activation energy for 2 as the barrier to rotation of the 9 -amino group.

Barriers to Rotation of 9-Substituents. The barrier to rotation of the methyl group in 3 is much larger than that for 6 , whereas the barrier for the hydroxyl group in $\mathbf{1}$ is only slightly larger than that for 4. The ratio of the barriers to rotation of the 9 -substituents

[^3]Table III. Steric Energies and Bond Angles by the MM2 ${ }^{\prime}$ Method for Rotation of the 9 -Substituents in 1-3 ${ }^{a}$

|  | 1 |  |  | 2 |  |  | 3 |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | TS | GS | $\Delta$ | TS | GS | $\Delta$ | TS | GS | $\Delta$ |
| Steric Energy |  |  |  |  |  |  |  |  |  |
| compression | 1.29 | 1.09 | 0.20 | 1.55 | 1.13 | 0.42 | 2.25 | 1.33 | 0.92 |
| bending | 8.56 | 8.17 | 0.39 | 9.12 | 8.14 | 0.98 | 9.63 | 7.93 | 1.70 |
| van der Waals | 11.81 | 10.69 | 1.12 | 12.72 | 10.78 | 1.94 | 14.38 | 11.30 | 3.08 |
| others ${ }^{\text {b }}$ | -26.57 | -26.83 | 0.26 | -28.20 | -28.18 | -0.02 | -28.40 | -28.47 | 0.07 |
| total | -4.91 | -6.88 | 1.97 | -4.81 | -8.13 | 3.32 | -2.14 | -7.91 | 5.77 |
| Bond Angles |  |  |  |  |  |  |  |  |  |
| $\mathrm{Carar}^{-\mathrm{C}}$ 9-X $A^{\text {c }}$ | 116.2 | 114.7 |  | 115.6 | 114.5 |  | 115.7 | 115.1 |  |
| $\mathrm{Carar}^{-\mathrm{C}} 9-\mathrm{X} \quad B^{c}$ | 113.9 | 114.1 |  | 113.0 | 114.3 |  | 115.7 | 115.1 |  |
| $\mathrm{Carar}^{-} \mathrm{C} 9-\mathrm{X} \quad C^{\text {c }}$ | 2.3 | 0.6 | 1.7 | 2.6 | 0.2 | 2.4 | 0.0 | 0.0 | 0.0 |
| C9-X-H | 111.9 | 109.2 | 2.7 | 114.1 | 111.7 | 2.4 | 113.8 | 111.0 | 2.8 |

${ }^{a}$ Steric energies and bond angles are given in units of kcal $\mathrm{mol}^{-1}$ and deg, respectively. TS and GS denote the values in the transition and ground states, respectively, and $\Delta$ is the difference between them. X stands for $\mathrm{O}, \mathrm{N}$, or C for $\mathbf{1 , 2}$, or $\mathbf{3}$, respectively. ${ }^{b}$ Including torsion, stretch-bend, and dipole-interaction terms. ${ }^{c} A$ and $B$ stand for the larger and the smaller values of the angles, respectively, and $C$ denotes the difference between them.
for $\mathbf{1 , 2}$, and $\mathbf{3}$ is 1:2.00:4.06, whereas the barriers are proportional to the number of the protons attached to the rotating groups in the methane system. The extra enhancement of the barrier for 3 should be ascribed to an increase in the steric interactions in the rigid triptycene skeleton.

To calculate the rotational barriers of the 9 -substituents, we employ here the MM2' molecular mechanics method using the same parameter set for aromatic carbon atoms as in the MM2 method, ${ }^{23}$ since rotation barriers are well reproduced by the MM2' method rather than the MM2 method. A reasonable reaction coordinate for the rotation of the amino group in $\mathbf{2}$ is obtained by simultaneously driving ${ }^{28}$ the two torsional angles defining the angles of the two amino protons. Simultaneously driving was tried also for rotation of the 9 -methyl group in $\mathbf{3}$ by the MMI method with the $C_{3 v}$ symmetry for the molecular structure of $3{ }^{1,2}$ Various steric energies and representative bond angles in the ground and transition states thus calculated for rotation of the 9 -substituents are listed in Table III. The calculated barriers are 1.97, 3.32, and $5.77 \mathrm{kcal} \mathrm{mol}^{-1}$ for 1,2 , and 3 , respectively, which agree well with but are slightly systematically larger (by ca. $0.7 \mathrm{kcal} \mathrm{mol}^{-1}$ ) than the corresponding experimental values. ${ }^{29}$ When one recalls the small values of 0.97 and $0.00 \mathrm{kcal} \mathrm{mol}^{-1}$ for the torsional parameters $V_{3}\left(\mathrm{C}_{\mathrm{sp} 2}-\mathrm{C}_{\mathrm{sp}} 3-\mathrm{O}-\mathrm{H}\right)$ and $V_{3}\left(\mathrm{C}_{\mathrm{sp}}{ }^{2}-\mathrm{C}_{\mathrm{sp}} 3-\mathrm{N}-\mathrm{H}\right)$, respectively, used in the MM2 and MM2' methods, the revised $V_{3}-$ $\left(\mathrm{C}_{\mathrm{sp}^{2}}-\mathrm{C}_{\mathrm{sp}^{3}}-\mathrm{C}_{\mathrm{sp}}{ }^{3}-\mathrm{H}\right)$ value ${ }^{6}$ should be acceptable.

The main part of the barriers to rotation of the methane system is the torsional energy. The apparent additive steric interactions for the barriers follow if the values for the torsional parameters in question are similar to each other. ${ }^{30}$ However, for the triptycene system, where the torsional energy makes only a trivial contribution, the barriers are largely due to the bending and van der Waals energies, which amount to 77,88 , and $83 \%$ for the total barriers of 1,2, and 3, respectively. ${ }^{31}$ Both steric energies originate from the steric interactions among the 9 -substituents and the peri hydrogen and carbon atoms. The extra enhancement of the barrier for 3 results, since distinct deformation of the three $\mathrm{C}_{\mathrm{ar}}-\mathrm{C} 9-\mathrm{C}_{\mathrm{Me}}$ angles does not occur during the rotation of the methyl group (Table III). On the contrary, in the transition states of the rotation of the 9 -substituents for 1 and 2 , the increased strain is reduced by nonequivalent changes of the $\mathrm{C}_{\mathrm{ar}}-\mathrm{C} 9-\mathrm{O}(\mathrm{N})$ angles; the nonequivalent increases of the angles are 1.7 and $2.4^{\circ}$ for $\mathbf{1}$ and $\mathbf{2}$,

[^4]respectively, whereas the increases of the $\mathrm{C} 9-\mathrm{X}-\mathrm{H}(\mathrm{X}=\mathrm{O}, \mathrm{N}$, or C ) angles are very similar.

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## Appendix

According to the general formulation, ${ }^{32}$ the proton spin-lattice relaxation time $T_{1}$ is expressed by

$$
\begin{equation*}
\frac{1}{T_{1}}=\frac{9}{4} \gamma^{4} \hbar^{2} \sum_{l} \mathrm{~A}_{l} B\left(\tau_{l}\right) \tag{12}
\end{equation*}
$$

where $l$ stands for the normal modes of reorientation, $A_{l}$ gives the effectiveness of the $l$ th mode to the relaxation, $B\left(\tau_{l}\right)$ is given by eq 2 , and $\tau_{l}$ is the correlation time of the $l$ th mode.

To evaluate the normal modes and the effectiveness for the relaxation process in 1, we consider dipolar interaction between the hydroxyl proton and the peri H 1 hydrogen atom, where the former proton is jumping among three sites, 1,2 , and 3 , shown in Figure 2, with a jumping rate of $\omega_{\mathrm{R}}$. The reorientation process is described in terms of the transition matrix $D$

$$
D=\frac{\omega_{\mathrm{R}}}{2}\left[\begin{array}{ccc}
2 & -1 & -1  \tag{13}\\
-1 & 2 & -1 \\
-1 & -1 & 2
\end{array}\right]
$$

where $-D_{i j}(i \neq j)$ is the transition rate between sites $i$ and $j$, and $D_{i i}$ is the reciprocal residence time at site $i$. This matrix can be diagonalized by

$$
U=\frac{1}{\sqrt{3}}\left[\begin{array}{lll}
1 & 1 & 1  \tag{14}\\
1 & \epsilon & \epsilon^{*} \\
1 & \epsilon^{*} & \epsilon
\end{array}\right]
$$

where $\epsilon=\exp (2 \pi i / 3)$. The diagonalized matrix $\Lambda$ has only one degenerate nonzero eigenvalue

$$
\begin{equation*}
\Lambda_{22}=\Lambda_{33}=3 \omega_{\mathrm{R}} / 2=\tau_{1}^{-1} \tag{15}
\end{equation*}
$$

The structure-dependent coefficient $A_{l}$ for the normal mode $l$ is given by
$A_{l}=\frac{2}{15} \sum_{i j} \sum_{k}^{\prime} \sqrt{P_{i}^{0}} \sqrt{P_{j}^{0}} U_{i k} U^{*}{ }_{j k} R_{\mathrm{I}}^{-3} R_{j}^{-3} P_{2}\left(\cos \Omega_{i j}\right)$
where the summation $\sum^{\prime}{ }_{k}$ is taken over $k$ ('s) of $\Lambda_{k k}$ ('s) with the same value as $\tau_{l}^{-1}, P_{i}^{0}\left(P_{j}^{0}\right)$ is the equilibrium population of site $i(j)$ (here, $\left.P_{i}^{0}=P_{j}^{0}=1 / 3\right), R_{\mathrm{I}}\left(R_{j}\right)$ is the internuclear distance between the protons at site $i(j)$, and $\Omega_{i j}$ designates the angle between the internuclear vectors $R_{i}$ and $R_{j}$.

Consequently, $T_{1}$ for $\mathbf{1}$ is written as
(32) (a) Soda, G. Kagaku No Ryoiki 1974, 28, 799-813. (b) Watton, A. Phys. Rev. B 1978, 17, 945-951. (c) Takeda, S.; Soda, G.; Chihara, H. Mol. Phys. 1982, 47, 501-517.

$$
\begin{equation*}
\frac{1}{T_{1}}=\frac{3}{7} \frac{\gamma^{4} \hbar^{2}}{15} B\left(\tau_{1}\right) \sum_{i j}\left(2 \delta_{\mathrm{I} j}-1\right) R_{\mathrm{I}}^{-3} R_{j}^{-3} P_{2}\left(\cos \Omega_{i j}\right) \tag{17}
\end{equation*}
$$

where a factor $3 / 7$ is added for intramolecular spin diffusion and $\delta_{i j}$ is Cronecker's delta.

Then we consider the relaxation for 2 , where rotation and inversion processes of the amino group should be taken into account. Figure 3 illustrates the six sites of the amino group in 2. We assume that the rotation occurs with a single jumping rate $\omega_{\mathrm{R}}$ among sites 1,2 , and 3 or sites 4,5 , and 6 , and the inversion takes place with another jumping rate $\omega_{I}$ between sites 1 and 5 , sites 1 and 6 , sites 2 and 4 , sites, 2 and 6 , sites 3 and 4 , or sites 3 and 5. The transition matrix $D$ is given in eq 18 .
$D=\frac{1}{2} x$
$\left[\begin{array}{llllll}2\left(\omega_{R}+\omega_{1}\right) & -\omega_{R} & -\omega_{R} & 0 & -\omega_{1} & -\omega_{1} \\ -\omega_{R} & 2\left(\omega_{R}+\omega_{1}\right) & -\omega_{R} & -\omega_{1} & 0 & -\omega_{1} \\ -\omega_{R} & -\omega_{R} & 2\left(\omega_{R}+\omega_{1}\right) & -\omega_{1} & -\omega_{1} & 0 \\ 0 & -\omega_{1} & -\omega_{1} & 2\left(\omega_{R}+\omega_{1}\right) & -\omega_{R} & -\omega_{R} \\ -\omega_{1} & 0 & -\omega_{1} & -\omega_{R} & 2\left(\omega_{R}+\omega_{1}\right) & -\omega_{R} \\ -\omega_{1} & -\omega_{1} & 0 & -\omega_{R} & -\omega_{R} & 2\left(\omega_{R}+\omega_{1}\right)\end{array}\right]$
(18)

This matrix can be diagonalized by

$$
U=\frac{1}{\sqrt{2}}\left[\begin{array}{cc}
1 & 1  \tag{19}\\
1 & -1
\end{array}\right] \times \frac{1}{\sqrt{3}}\left[\begin{array}{ccc}
1 & 1 & 1 \\
1 & \epsilon & \epsilon^{*} \\
1 & \epsilon^{*} & \epsilon
\end{array}\right]
$$

where $\times$ means a direct product. The nonzero eigenvalues of $\Lambda$ are

$$
\begin{gather*}
\Lambda_{22}=\Lambda_{33}=3\left(\omega_{\mathrm{R}}+\omega_{\mathrm{I}}\right) / 2=\tau_{1}^{-1} \quad \Lambda_{44}=2 \omega_{\mathrm{I}}=\tau_{2}^{-1} \\
\Lambda_{55}=\Lambda_{66}=\left(3 \omega_{\mathrm{R}}+\omega_{\mathrm{I}}\right) / 2=\tau_{3}^{-1} \tag{20}
\end{gather*}
$$

$A_{l}$ for the normal mode $l$ is given by eq 16 with $P_{i}^{0}=P_{j}^{0}=1 / 6$.
After evaluation of the $A_{l}$ values, one obtains the contribution of the intraamino protons to the relaxation time

$$
\begin{equation*}
\left(\frac{1}{T_{1}}\right)_{\mathrm{intra}}=\frac{2}{15} \frac{9}{40} \gamma^{4} \hbar^{2} R_{\mathrm{HH}}{ }^{-6} B\left(\tau_{1}\right) \tag{21}
\end{equation*}
$$

where $2 / 15$ is a factor for the spin diffusion and $R_{\mathrm{HH}}$ is the distance between the amino protons. The contribution from the proton pairs between the amino protons and the peri hydrogen atoms is given by

$$
\begin{equation*}
\left(\frac{1}{T_{1}}\right)_{\text {Inter }}=\frac{4}{5} \frac{9}{4} \gamma^{4} \hbar^{2} \sum_{l=1,3} A_{l} B\left(\tau_{l}\right) \tag{22}
\end{equation*}
$$

where $A_{2}$ vanishes and $4 / 5$ is a factor for the spin diffusion.
Registry No. 1, 73597-16-7; 2, 793-41-9; 3, 793-39-5; 9-acetoxytriptycene, 97733-14-7; 9-acetoxyanthracene, 1499-12-3; benzyne, 462-80-6; 9-nitrotript ycene, 797-67-1.

# Fundamental Studies of the Chemisorption of Organosulfur Compounds on $\mathrm{Au}(111)$. Implications for Molecular Self-Assembly on Gold Surfaces 

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#### Abstract

Studies of the adsorption of methanethiol and dimethyl disulfide on an Au(111) surface under UHV conditions are described. Both adsorbates bind strongly, with the bonding of the disulfide being greatly favored. It is found that, under these conditions, the disulfide bond is dissociated to give a stable surface thiolate. Adsorption of methanethiol does not involve cleavage of the $\mathrm{S}-\mathrm{H}$ bond. The implications of these results for solution adsorption experiments and the thermodynamics characterizing monolayer formation are discussed.


The adsorption of organosulfur compounds on gold surfaces represents a chemisorption system with unique and important properties. In an earlier communication, ${ }^{1}$ a general description of the chemisorption of organic disulfides (RSSR') from solution on clean, evaporated gold films was presented. Given the inertness of gold toward the chemisorption of most polar organic functionalities, we were surprised to find that all of the disulfides we examined formed very strong chemisorption bonds. We also observed that when an appropriate molecular structure in the adsorbate molecule is used, it is possible to prepare structurally and chemically complex organic surfaces with well-defined microscopic characteristics. Further, it was found that this technique is completely general, in that these molecular self-assembly processes (also called spontaneous organization) are disposed equally well toward the construction of both high- and low-surface free energy monolayer films. ${ }^{1-6}$ We note that it is the preparation
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## Scheme I


of high-surface free energy organic materials which most distinguishes this chemisorption system from other, well-documented films obtained by molecular self-assembly. ${ }^{7-9}$

[^5]
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    (29) MM2' calculations can reproduce the inversion barrier of ammonia with neglect of the lone-pair electrons of nitrogen and with a revised HNH bending constant ( $0.375 \mathrm{mdyn} \AA^{-1}$ ), yielding 4.58 and $4.88 \mathrm{kcal} \mathrm{mol}^{-1}$ for the inversion barriers of the amino groups in 2 and 5 , respectively, without signiflcant changes in the rotation barriers. Since the latter value is in good agreement with the experimental one, ${ }^{26}$ the assumed value of $5.4 \mathrm{kcal} \mathrm{mol}^{-1}$ is considered as an upper limit.
    (30) The $V_{3}$ parameters for $\mathrm{H}-\mathrm{C}_{\mathrm{sp}}{ }^{3}-\mathrm{X}-\mathrm{H}$ employed in the $\mathrm{MM} 2^{\prime}$ method are $0.200,0.250$, and $0.237 \mathrm{kcal}^{\mathrm{mol}^{-1}}$ for $\mathrm{X}=\mathrm{O}, \mathrm{N}$, and $\mathrm{C}_{\mathrm{sp}^{3}}$, respectively.
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